

MANGALORE UNIVERSITY
DEPARTMENT OF BUSINESS ADMINISTRATION
MASTER OF BUSINESS ADMINISTRATION (MBA)

MBAS 553: FINANCIAL SERVICES

Work load : 4 hours per week - Total credits: 4

Examination : 3 hours 70 marks

Objectives. : To offer Specialised knowledge in existing and emerging areas of merchant banking financial services..

Pedagogy. : Lectures, assignments, practical exercises, discussions, seminars.

Chapter 1: Financial System: functions of a financial system, components of financial systems, Structure of a financial system. Problems of Indian financial system.

Chapter 2: Merchant Banking - historical perspective - nature of services provided by Merchant bankers - structure of merchant banking firm - setting up and managing a merchant banking SEBI regulations on merchant banks.

Chapter 3: Financial Markets -capital markets -primary markets -methods of raising funds from primary market -public issue - SEBI guidelines for primary issue and relevant t company law provisions to primary issue -secondary market operations -internet trading (introduction to the concept) -global markets -issue of American depository receipts and global depository receipts- guidelines on their issue -emerging trends .

Chapter 4: Financial services: underwriting -stock broking depositories. Consumer finance -car financing -financing of consumer durables and other services provided by financial service companies.

Chapter 5: Credit rating: approaches and process of rating- credit rating agencies-CRISIL and ICRA, CARE ratings for financial instruments-methodology of rating, rating of companies based on acceptable ratios (Altman's z-score ratios).

Chapter 6: Treasury Management Services: Treasury as an independent profit center - investment of surplus funds - money market operations - treasury bills, commercial bills, commercial papers, certificate of deposits, Gilt edged securities markets.

Chapter 7: Leasing: Concept and classification of leasing - present legislative frame work of leasing - lease evaluation (lessors & lessee's point of view) - tax aspects of leasing.

Chapter 8: Hire Purchase: Introduction -Concepts and characteristics - legal aspects and tax implications-financial evaluation

Chapter 9: Central and Commercial Banking: definitions- functions -nationalization of banks -RBI-functions and working

Chapter 10: Insurance -role of insurance in financial framework - General insurance -life insurance -marine insurance -medical insurance and others, recent trends in insurance industry, impact of privitisation on insurance industry.

References:

1. L.M.Bhole-Financial Institutions and Market-TataMcGraw-Hill Publishing company Ltd.
2. Sharpe, Alexander and Bailey – Investments - Pearson
3. I Friend M Blume, J Crocket.-Mutual funds and other institutional investors – McGraw Hill.
4. Sanjay Lal and Paul Streeten.- Foreign investments, Transnationals and Developing Countries- Macmillan Press

5. N. J Yaraswy -Personal Investment and tax planning- Vision Books, New Delhi.
6. Suneja– Banking- Suneja Publishers.
7. Shekar and Shekar - Banking Theory and practice – Vikas Publishing House.
8. Julia Holyoake ,William Weipers - Insurance 4th Edition - Financial World Publishing
9. M Y Khan- Financial Services-Tata McGraw-Hill Publishing Company Ltd.
10. Gordon and Natarajan -Fiancial Markets and Services - HPH
11. Indian institute of banking and Finance, Principles Practices of Banking -MacMillan.
12. Indian institute of banking and Finance, Legal and Regulatory Aspects of Banking - Mac Millan.
13. Pandian P – Financial Services and Markets - Vikas Publishing House, New Delhi.
14. Shashi K Gupta and Nisha Aggarwal, Financial Services, 5th Revised Edition, 2014, Kalyani Books.

